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FORM PTO-1390) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTORNEY'S DOCKET NUMBER (REV. 10-2003) 1204.46017X00 TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO. (If known, see 37 CFR 1.5) DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 Not Yet Assigned INTERNATIONAL APPLICATION NO. PRIORITY DATE CLAIMED INTERNATIONAL FILING DATE PCT/JP2004/012726 September 2, 2004 September 5, 2003 TITLE OF INVENTION Nonaqueous Electrolyte Secondary Battery Negative Electrode Material, Manufacturing Method Thereof, Nonaqueous Electrolyte Secondary Battery Negative Electrode with the Negative Electrode Material and Nonaqueous Electrolyte Secondary Battery APPLICANT(S) FOR DO/EO/US Kouichi TAKEI Kiyoshi SUZUKI Tatsuya NISHIDA Hideyuki INOMATA Hiroshi NAKAGAWA Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. 🖂 This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. 🔯 This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. 🛛 The US has been elected (Article 31). 5. 🖂 A copy of the International Application as filed (35 U.S.C. 371(c)(2))) a. is attached hereto (required only if not communicated by the International Bureau). b. A has been communicated by the International Bureau. c. is not required, as the application was filed in the United States Receiving Office (RO/US) 6. 🔯 An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. X is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4). 7. 🔲 Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. 🔯 An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. 🔲 An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. 🔯 An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. 🖂 A preliminary amendment. 14. 🔲 An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16. A power of attorney and/or address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18. A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. 🔯 Other items or information: Figs.: 1; Credit Card Payment Form

| U.S. APPLICATION NO. (If known, sec 37 CFR 1/5) Not Yet Assigned INTERNATIONAL APPLICATION NO. PCT/JP2004/012726 | | | ATION NO. | ATTORNEY'S DOCKET NUMBER 120446017X00 | |
|---|--------------|--|---|---------------------------------------|----|
| The following fees have been submitted: | | | CALCULATIONS | PTO USE ONLY | |
| 21. Basic national fee \$300 | | | \$300.00 | | |
| 22. Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provision of PCT Article 33(1)-(4) \$100 All other situations \$200 | | | | \$200.00 | |
| 23. Search fee Search fee (37 CFR 1.445(a)(2) has paid on the international application to the USPTO as an International Searching Authority \$100 International Search Report prepared and provided to the Office \$400 All other situations \$500 | | | | \$500.00 | |
| TOTAL OF 21, 22 and 23 = | | | | \$1000.00 | |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | \$0.00 | |
| Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Rate (round up to a whole number) | | | | | |
| - 100 = | | | | 50.00 | |
| from the earliest claimed priority date (37 CFR 1.492(e)). | | | | \$0.00 | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | |
| Total Claims | 11 - 20 = | 0 | x \$50.00 | \$0.00 | |
| Independent Claims | 2 - 3 = | 0 | x \$200.00 | \$0.00 | |
| MULTIPLE DEPENDENT CLAIMS(S) (if applicable) + \$360.00 | | | | \$0.00 | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$1000.00 | |
| Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½. | | | | \$0.00 | |
| SUBTOTAL = | | | | \$1000.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | \$0.00 | - |
| TOTAL NATIONAL FEE = | | | | \$1000.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | | | \$40.00 | |
| TOTAL FEES ENCLOSED = | | | | \$1040.00 | |
| | | | | Amount to be refunded: | \$ |
| | | | | Amount to charged: | \$ |
| b. Please char A duplicate cop c. The Commission overpayment to d. Fees are to be of information sl | | 01-2135 in the amount of \$\frac{\scrt{c}}{c}\$ charge any additional fees w -2135. A duplicate copy of RNING: Information on this form. Provide credit card | hich may be required, or creating sheet is enclosed. is form may become public. information and authorization | lit any Credit card | |
| SEND ALL CORRESPONDENCE TO: CUSTOMER NO. 020457 ANTONELLI, TERRY, STOUT & KRAUS, LLP 1300 North 17th Street, Suite 1800 Arlington, VA 22209 Signature William I. Solomon Name 28,565 Registration No. | | | | | |

1204.46017X00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: TAKEI, et al.

Serial No.:

Not Yet Assigned

Filed:

March 3, 2006

Title:

Nonagueous Electrolyte Secondary Battery Negative Electrode Material. Manufacturing Method Thereof, Nonaqueous Electrolyte Secondary Battery Negative Electrode with the Negative Electrode Material and

Nonaqueous Electrolyte Secondary Battery

REQUEST FOR EXAMINATION AND SEARCH

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

March 3, 2006

Sir:

Applicants respectfully request that a search and examination be conducted in the above-identified application. The necessary search fee and examination fee are enclosed herewith.

Please charge any shortage in the fees due in connection with the filing of this paper, including excess claim fees, to Deposit Account No. 01-2135 (1204.46017X00), and please credit any excess fees to such deposit account.

Respectfully submitted,

William I. Solomon Registration No. 28,565

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